

Regulation No. 1

(non-Iraqi trading in the Iraqi Stock Exchange)

Article (1)

The broker verifies the personal information of the non-Iraqi investor by requesting the forms listed in below by e-mail to be supported later with documents certified by the embassy of Iraq in the investor's country or any government entity accredited in his country and within a period not exceeding fifteen days.

- a. Valid and certified passport. \
- b. Certificate of incorporation certified by the issuing authority or the relevant Iraqi authority if the corporate account.
- c. Permanent and temporary address, if any, e-mail and phone number.
- d. Three samples of signatures are notarized by the correspondent bank.

Article (2)

The market prepares a purchase and sale authorization form in Arabic, English and Kurdish, in which the order number is listed Time, date and receipt of the order, investor name, number of shares, set price, market price, order type, period The validity of the order, and the authorization is delivered by e-mail, the investor's agent or any other means, provided that the broker is responsible for the sale and purchase according to the agreement concluded between them.

Article (3)

A non-Iraqi investor or a person legally acting on his behalf shall do the following:

- a. Sign an agreement with the brokerage company.
- b. Signing the authorization form with the brokerage company.

Article (4)

A non-Iraqi investor and non - resident in Iraq may appoint an agent to follow up his financial investments in the market according to an official contract according to the law.

Article (5)

The broker may not receive any cash payments from a non-Iraqi investor or his agent, and the sale amounts may not be paid in cash These operations must be carried out through one of the authorized banks in Iraq and in Iraqi dinars.

Article (6)

The financial settlement of investors ' rights is carried out within the period established in the trading regulations and through a bank an Iraqi is licensed and the mediator bears the legal consequences.

Article (7)

The broker must provide the non-Iraqi investor or his agent with the sales and purchase statements in accordance with the regulations in force or the agreement concluded between them and by the available means agreed upon.

Article (8)

The broker keeps a copy of all the documents mentioned in the regulations and copies of the amounts minted deposited and received and shall be subject to the supervision of the market and the authority.

Article (9)

The laws, regulations and controls for the trading of a non-Iraqi investor are published on the Iraq Stock Exchange website.

Article (10)

When a non-Iraqi investor places a sell or buy orders, the order must be valid, according to the regulations.

Article (11)

That the rules, procedures and obligations applied against the Iraqi investor and broker shall be in force for the non-Iraqi investors, unless it contradicts the above.